PTO/SB/08 (09-06)

Approved for use through 03/31/2007, OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERC Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid DMR control number.

| | Substitute for form | 1449/PTO | Complete if Known | | |
|-----------------------------------|---------------------|-------------|------------------------|---------------|--|
| | INFORMATION DIS | CLOSURE | Application Number | 09/337,675 | |
| | STATEMENT BY A | PPLICANT | Filing Date | 6/22/1999 | |
| Date Submitted: Mach 4, 2011 | | | First Named Inventor | Jon Swanson | |
| | Date Submitted, Ma | GII 4, 2011 | Art Unit | 1615 | |
| (use as many sheets as necessary) | | | Examiner Name | Susan T. Tran | |
| Sheet | 1 of | 2 | Attorney Docket Number | 029318-0497 | |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|------|--|------------------|----------------------------------|--|--|
| Examin | Cite | Document Number | Publication Date | Name of Patentee or Applicant of | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | |
| er Initials* | No.1 | Number-Kind Code ² (if known) | MM-DD-YYYY | Cited Document | | |
| | A1 | 6,592,903 | 07/2003 | Ryde et al. | | |
| | A2 | 6,045,829 | 04/2000 | Liversidge et al. | | |
| | A3 | 6,375,986 | 04/2002 | Ryde et al. | | |
| | A4 | 3,269,798 | 08/1966 | Miles Preston John | | |
| | A5 | 3,692,532 | 09/1972 | Shankenberg et al. | | |
| | A6 | 5,871,747 | 2/16/1999 | Gengoux-Sedilik et al. | | |
| | A7 | 4.880.634 | 11/14/1989 | Speiser | | |

| | | | FOREIGN PATENT | DOCUMENTS | | |
|-----------------------|--------------|--|--------------------------------|---|--|---|
| Examiner Initials* | Cite No.1 | Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (<i>if known</i>) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Documents | Pages, Columns, Lines, Where Rolevant Passages or Relevant Figures Appear | Т |
| | B1 | WO 96/24335 | 8/15/1996 | Nanosystems LLC | | |

| | | NON PATENT LITERATURE DOCUMENTS | |
|-----------------------|--------------|--|----|
| Examiner Initials* | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | т6 |
| | C1 | Office Action cited in related U.S. Patent Application No. 11/980,720, dated 12/22/2010. | |
| | C2 | European Search Report cited in related EP Patent Application No. EP 10010944, dated 12/13/2010. | |
| | C3 | Canadian Office Action cited in related Canadian Patent Application No. 2475092, dated 1/11/2011. | - |
| | C4 | Calvo et al., "Effect of lysozyme on the stability of polyester nanocapsules and nanoparticles: stabilization approaches," <i>Biomaterials</i> , Vol. 18, No. 19, pp. 1305-1310 (1997), [ABSTRACT] | |
| | C5 | Tian et al., Structural Stability Effects on Adsorption of Bacteriophage T4 Lysozyme to Colloidal Silica," J. Colloid. Interface Sci., Vol. 200, pp. 146-154 (1998). [ABSTRACT] | |
| | C6 | Office Action cited in related U.S. Patent Application No. 12/117,982, dated 2/2/2011. | |

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /S.T./

| Examiner Signature | /Susan Tran/ | Date Considered | 07/29/2011 |
|-----------------------|--------------|--------------------|------------|
|-----------------------|--------------|--------------------|------------|

"EXAMMER: Initial if reference considered, whether or not clation is in conformance with MFEP 660. Draw line through clation of not in conformance and not considered inclined copy of this form with here of communication to applicant. Applicant's image clation designation ununber (optional) 25 etc. Wind Scotes of USFTO Platent Documents at www.usplo.gov.or MFEP 901.04.3 Einer Office that issued the document, by the two-letter code (WPC) Standard ST 3,4 For Jaganese patter flockments, the endication of the year of the regnor of the Emperor must precede the serial number of the patient documents of the discountered on the year of the Emperor must precede the serial number of the patient document by the appropriate symbols as indicated on the document under WIPO Standard ST 18 if possible. 8 Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 197 and 19.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application Confederability is gowered by 55 US C 122 and 37 CFR 114. This collection is estimated to take just complete. including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete his form and/or suggestions for reducing this burdlers, should be sent to the Chief information Officer U.S. Patent and Trademark Office P.O. Box 1450. Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents P.O. Box 450. Alexandria, VA 22313-1450.

PTO/SB/08 (09-06)

Approved for use through 03/31/2007. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERC Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | Substitute for fo | rm 1449/PTO | Complete if Known | | |
|-----------------------------------|-------------------|--------------|------------------------|---------------|--|
| | INFORMATION | DISCLOSURE | Application Number | 09/337,675 | |
| | STATEMENT BY | Y APPLICANT | Filing Date | 6/22/1999 | |
| | Date Submitted: | Mach 4 2011 | First Named Inventor | Jon Swanson | |
| | Date Submitted. | Mach 4, 2011 | Art Unit | 1615 | |
| (use as many sheets as necessary) | | | Examiner Name | Susan T. Tran | |
| Sheet | 2 | of 2 | Attorney Docket Number | 029318-0497 | |

| Examiner | Cite | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, stc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | C7 | Office Action cited in related U.S. Patent Application No. 10/701064, dated 2/14/2011. |

Canadian Office Action cited in related Canadian Patent Application No. 2488499, dated 12/16/2010.

| (- · · · | D-+- | |
|-----------|------------|--|
| Examiner | Date | |
| Signature | Considered | |

"EXAMINET: Initial If reference considered, whether or not challon is in conformance with MFEP 600. Draw line through classin of front is conformance and not considered, include copy of this form with next communication to applicant. I Applicant's unique classical designation unumber (optional) 2.5 be Kinds Codes of USPTO Patent Documents at www.usico.gov or MFEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).4 For Japanese patent focuments, the indication of the year of the retign of the Emperor must precede the serial number of the patent document by the appropriate symbols as indicated on the document under WIPO Standard ST.1.6 if possible, 8 Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 197 and 1.88. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application Confidentiality is operanded by 36 U.S.C. 122 and 37 CFR 1.4 This collection is estimated to take the total to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the induvdual case. Any comments on the amount of films you require to complete this form and/or suppositions for reducing this burden, should be early on the information Officer US Patient and Trademark Office. P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO. Commissioner for Patients, P.O. Box 1450, 1452, advandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO.